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IRA Deposit Slip

For IRA Deposits Only

Instructions:

1. Fill out the Deposit Slip completely. Make checks payable to: Penson Financial Services, Inc. and write your account number(s) on the face of your check.
2. If you are making an IRA contribution, fill out the appropriate section completely.
3. Penson Financial Services Inc. generally does not accept 3rd Party Checks, Credit Card Checks, or Line of Credit Checks. A 3rd Party Check is a check that is drawn on an account other than the account as styled and held by Penson Financial Services, Inc. All deposits will typically be held for 10 business days before funds are available for disbursement.

Penson Account Number: _____

Deposit Amount: \$ _____

Name on Penson Account: _____

Check One:

<input type="checkbox"/> Regular Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.)
<input type="checkbox"/> Employer Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.) Note: All SEP contributions are reported the year of deposit.
<input type="checkbox"/> Employee Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.)
<input type="checkbox"/> Direct Transfer	Transferred from another firm where it was held with identical account type and title.
<input type="checkbox"/> Rollover	Qualified Direct Rollover from my Employer's Plan (ex. 401(k), 403(b), Profit Sharing Plan, Money Purchase Pension Plan, etc. or 60 Day Rollover.) <i>Note: For 60 Day Rollover, the assets and account type must match as they were distributed less than 60 days ago.</i> Account Owner agrees to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution that the funds are being deposited within the allowable sixty day time period; and that the funds deposited have not been rolled over previously during the past twelve month time period. Account owner further agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover.
<input type="checkbox"/> Fee Payment	This is to offset fees charged due administrative fees charged by the trustee only.