



950 Milwaukee Ave., Ste. 102  
 Glenview, IL 60025  
**Tel:** 1-877-488-6534  
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# Deposit Slip

**Instructions:**

1. Fill out the Deposit Slip completely. Make checks payable to: Penson Financial Services, Inc. and write your account number(s) on the face of your check.
2. If you are making an IRA contribution, fill out the appropriate section completely.
3. Penson Financial Services, Inc. does not accept 3rd Party Checks, Credit card Checks, or Line of Credit Checks. A 3rd Party Check is any check drawn on an account other than that of the owner of the account serviced by Penson Financial Services, Inc. The only exceptions to this rule are Cashiers Checks and Travelers Checks. All deposits will be held for 10 business days before available to be disbursed.

Please detach here before mailing.

**Penson Financial Services, Inc.**

## Deposit Slip

Penson Account Number: \_\_\_\_\_

Name on Account: \_\_\_\_\_

Total Deposit: \$ \_\_\_\_\_

### For IRA Deposits Only

**Check one:**

<b>IRA/Roth Contribution</b>	Contribution for Tax Year: _____
<b>SEP Contribution</b>	Contribution from my Employer.
<b>Simple Contribution</b>	Contribution from my Employer.
<b>Direct Transfer</b>	Transferred from another firm where it was held with identical account type and/or title.
<b>Direct Rollover</b>	Qualifying Direct Rollover from my Employer's Plan ex. 401(k), 403(b), Profit Sharing Plan, Money Purchase Pension Plan, etc.
<b>60 Day Rollover</b>	The assets and account type must match as they were distributed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable sixty-day time period; and that the Account Owner is allowed only one rollover per twelve month period. Account owner further agrees to be bound the election of this deposit as an Irrevocable Qualifying Rollover.
<b>Roth Conversion</b>	Deposit resulting from a Traditional IRA distribution.

### Deposit Agreement

By my signature below, I authorize Penson Financial Services, Inc. to deposit the funds in the manner instructed.

Account Owners Signature: \_\_\_\_\_

Date: \_\_\_\_\_